

# Privacy-Preserving Systems (a.k.a., Private Systems)

CU Graduate Seminar

Instructor: Roxana Geambasu

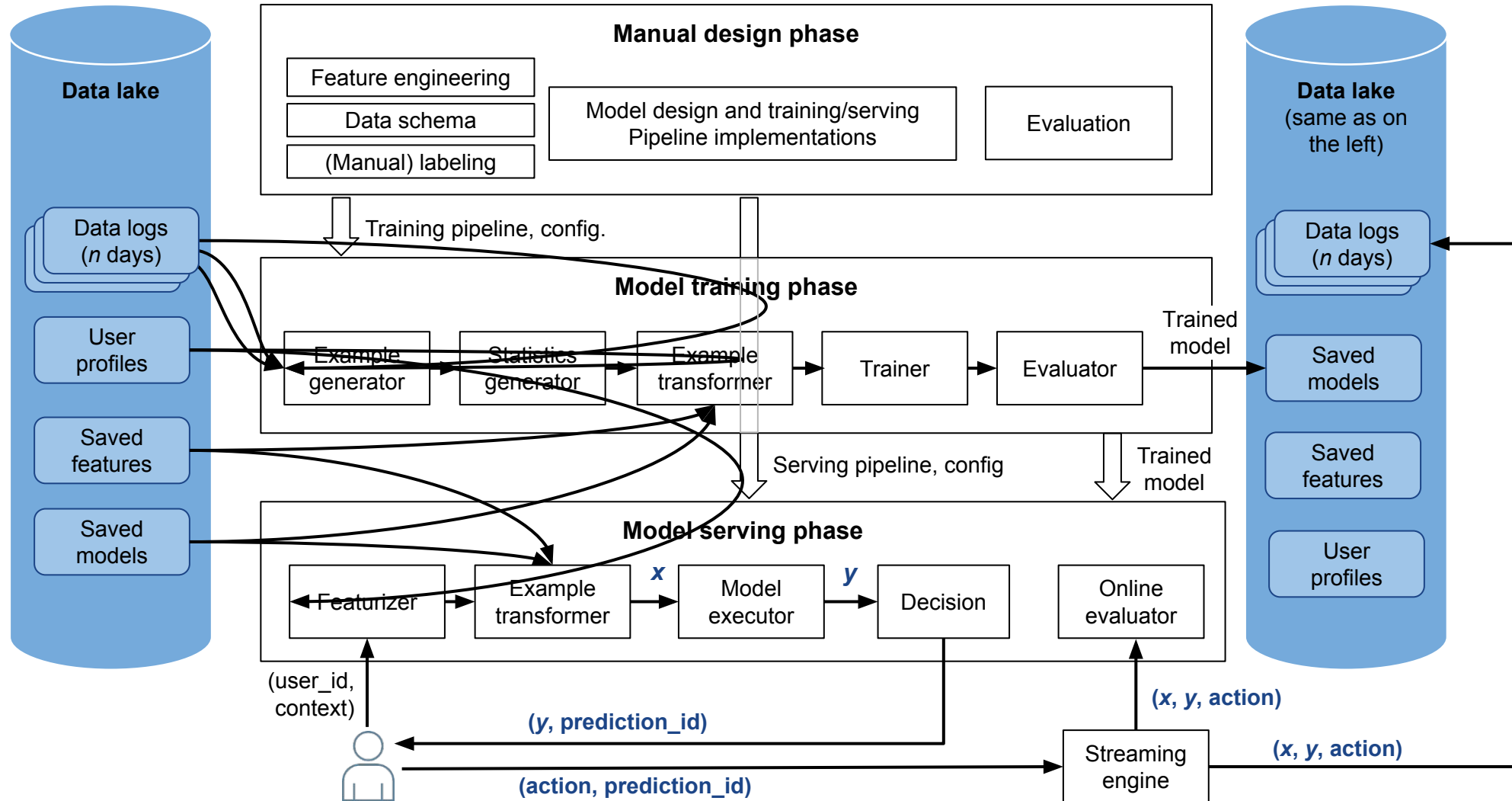
# Homomorphic Encryption

Acknowledgement: This lecture was inspired by [this 2019 talk](#) by Prof. Raluca Ada Popa<sub>2</sub>

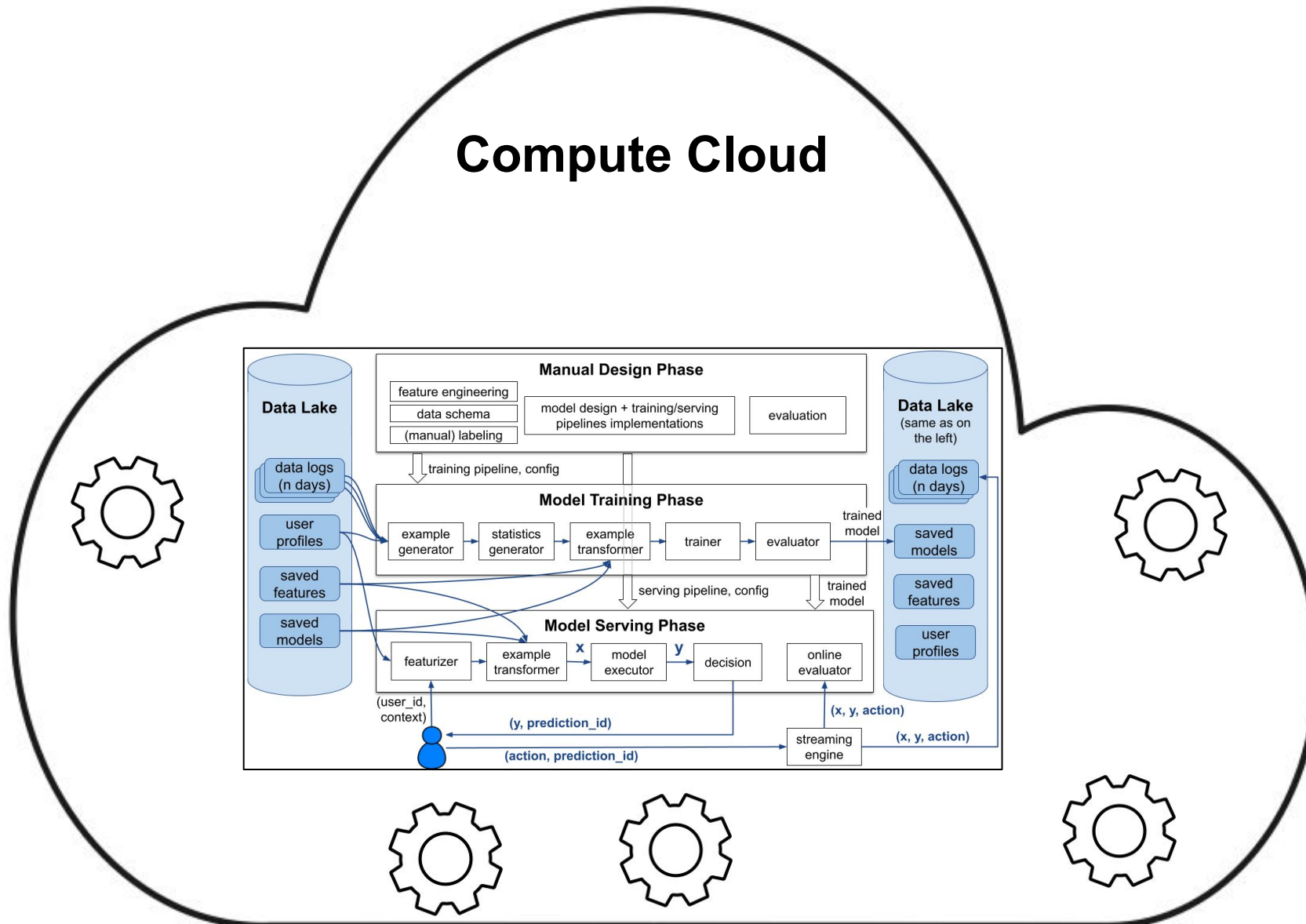
# Limitations of Traditional Encryption for Data Exposure Risks in (ML) Clouds

---

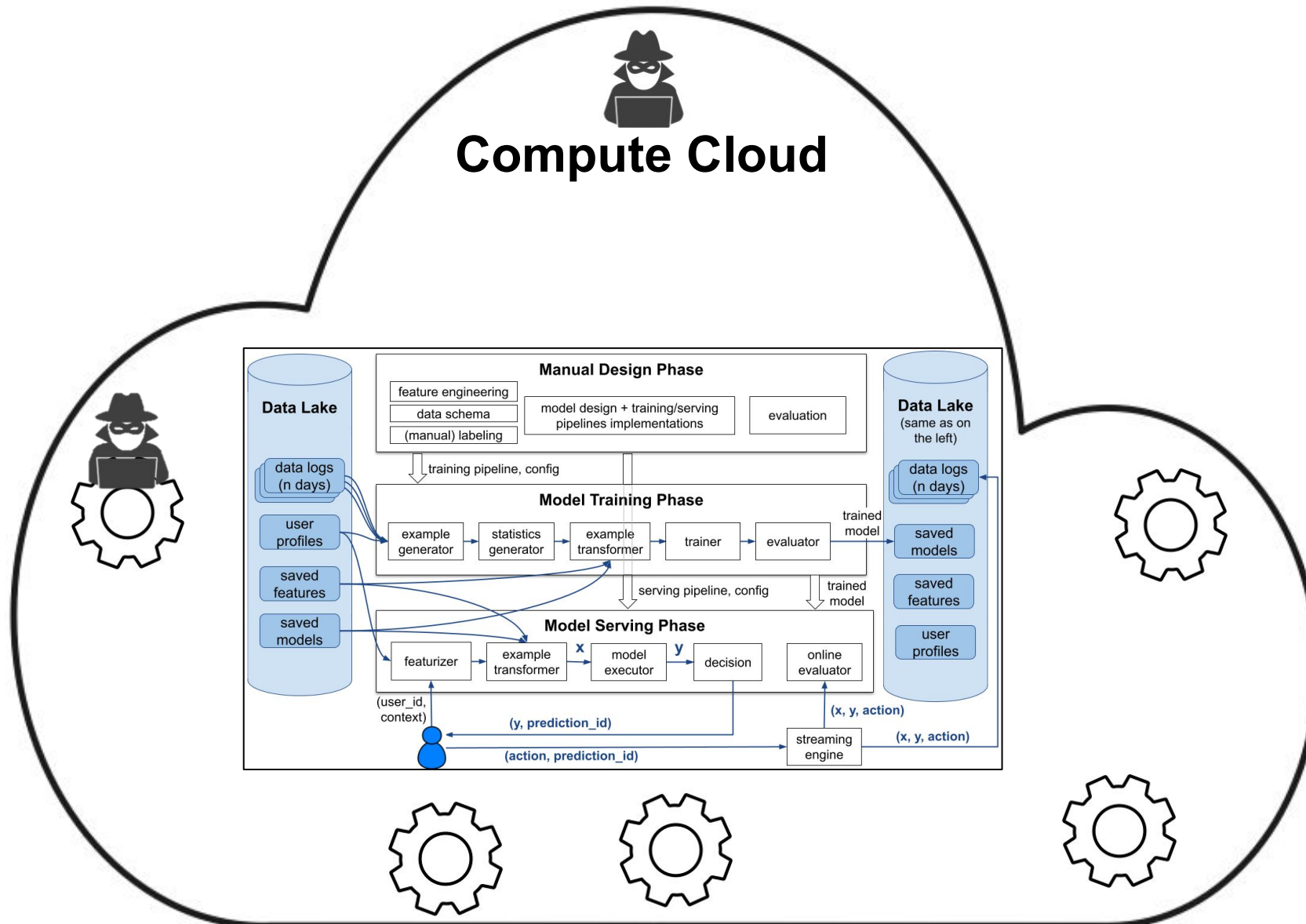
# Reminder: Data Risks in ML Ecosystems



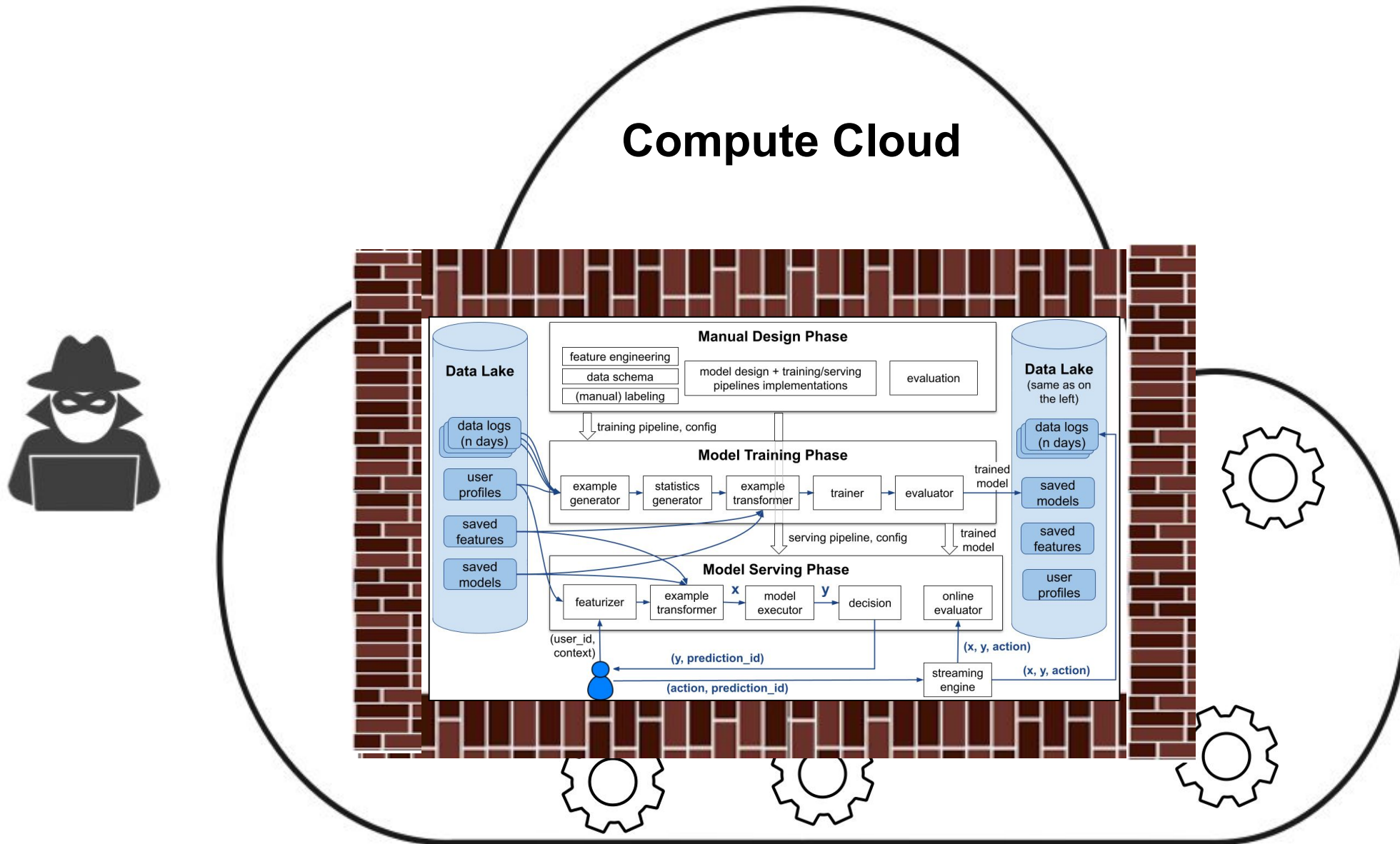
# Clouds Add Further Risks



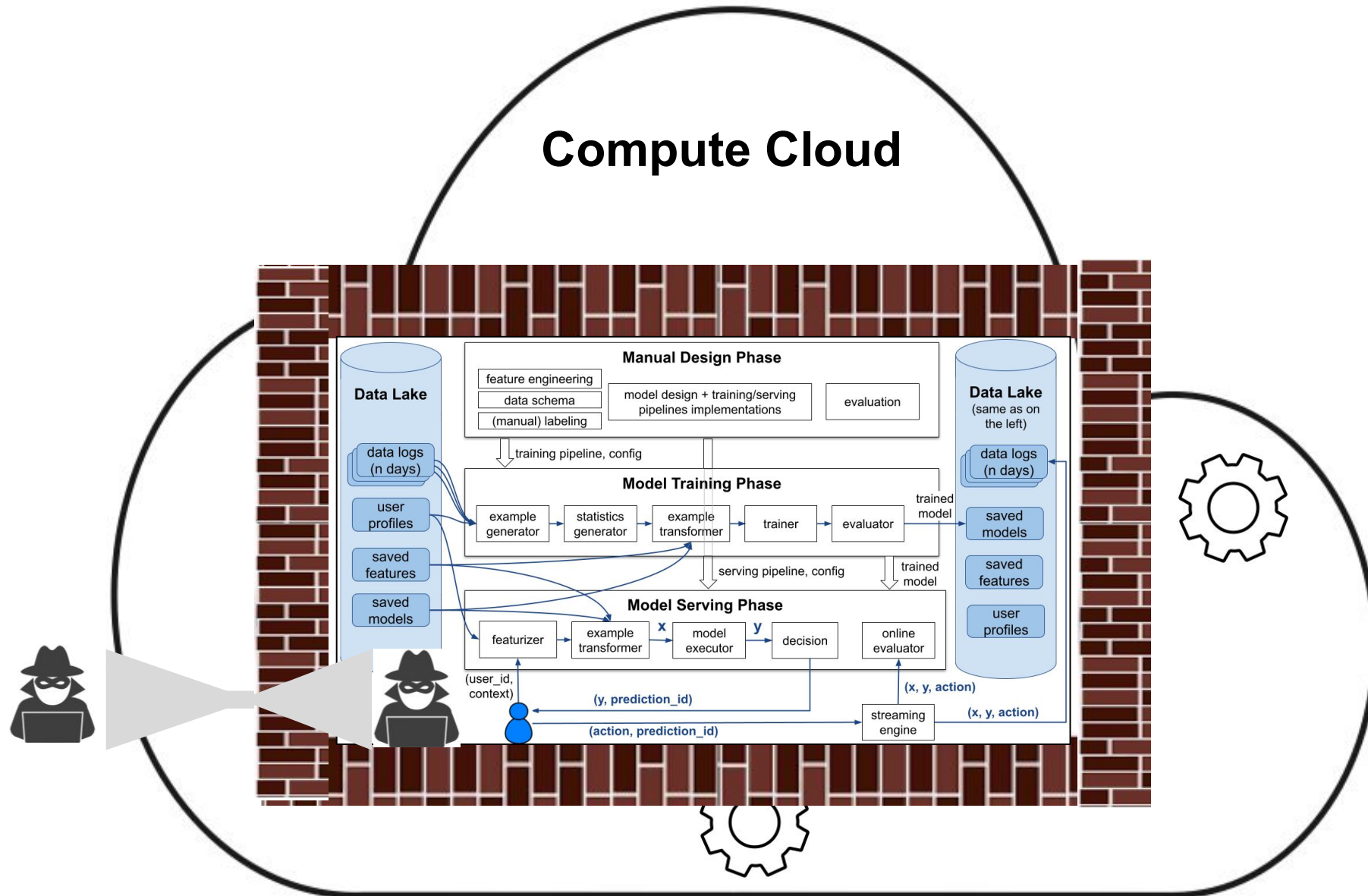
# Clouds Add Further Risks



# Traditional Security's Main Weakness



# Attackers Eventually Break In





# Assume the Attacker Will Break In

---

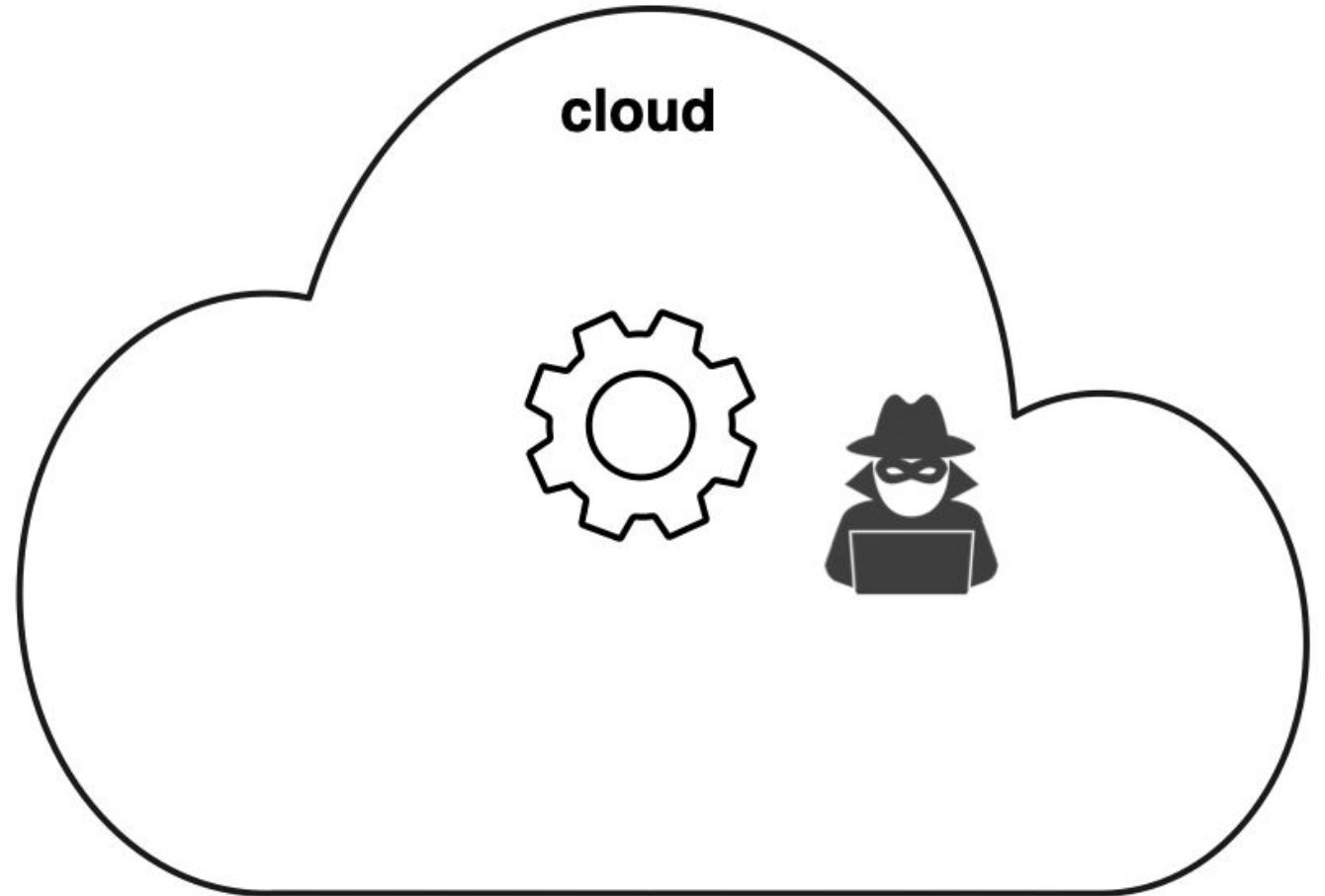
*“in the cloud [...] applications need to protect themselves instead of relying on firewall-like techniques”*



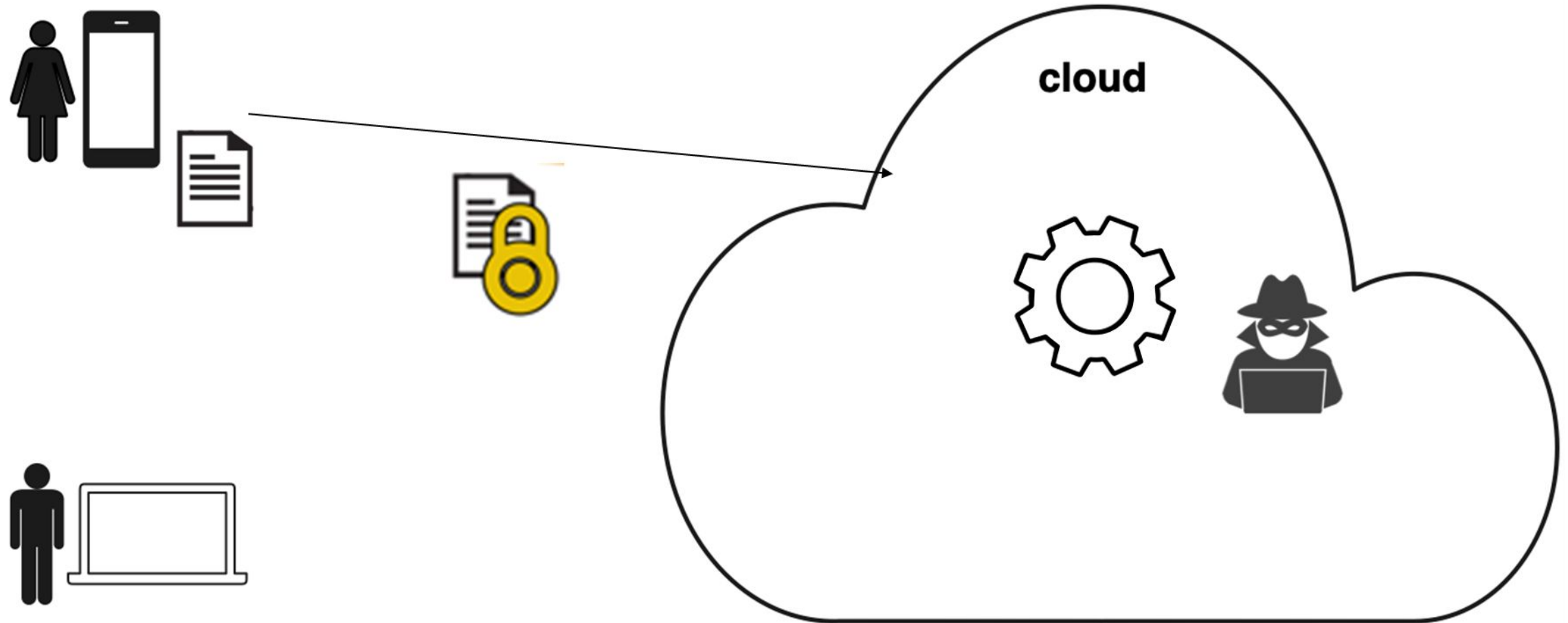
Werner Vogels,  
Amazon CTO

# Standard Use of Encryption

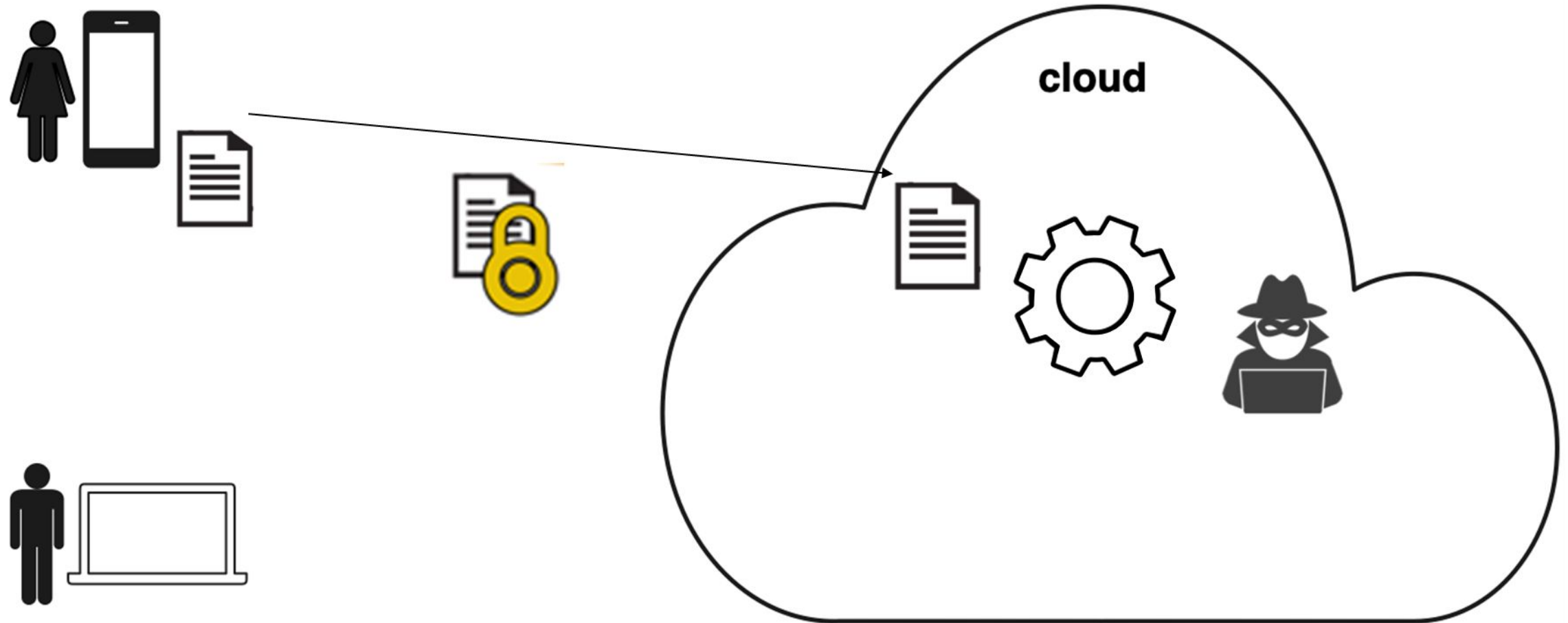
---



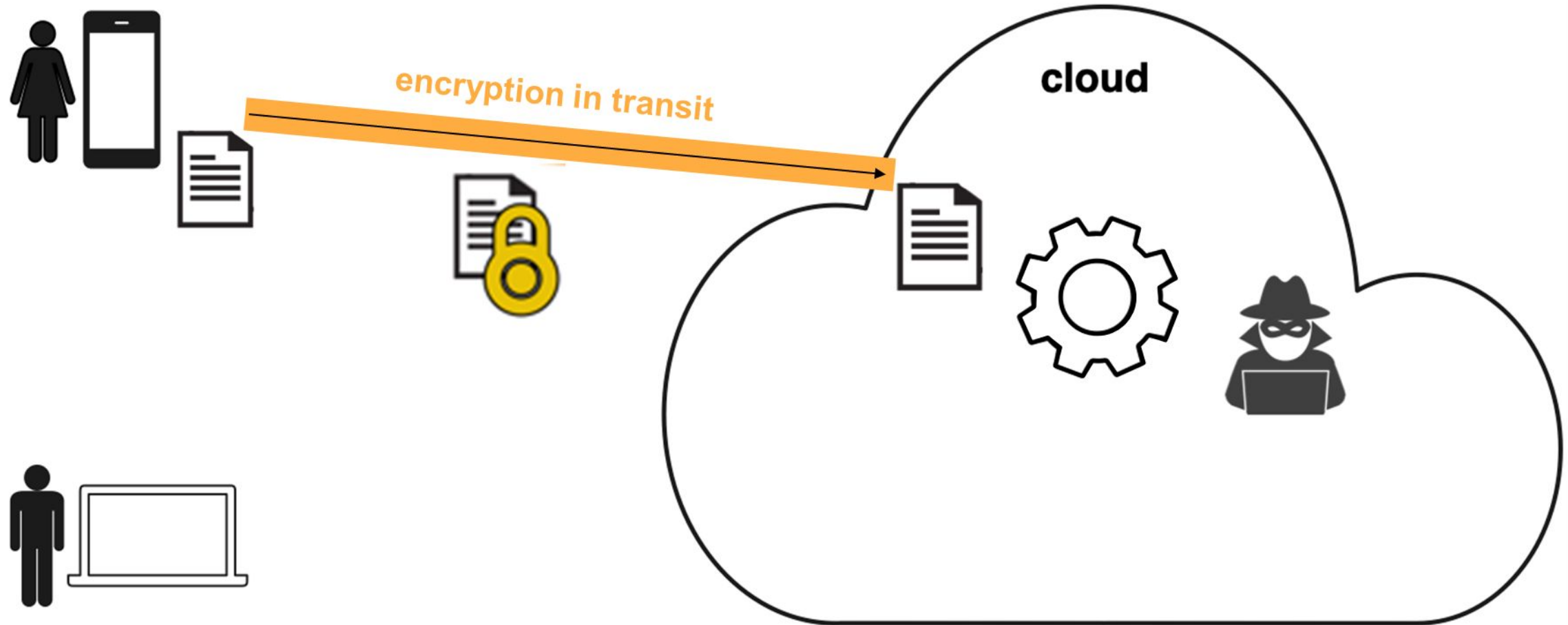
# Standard Use of Encryption



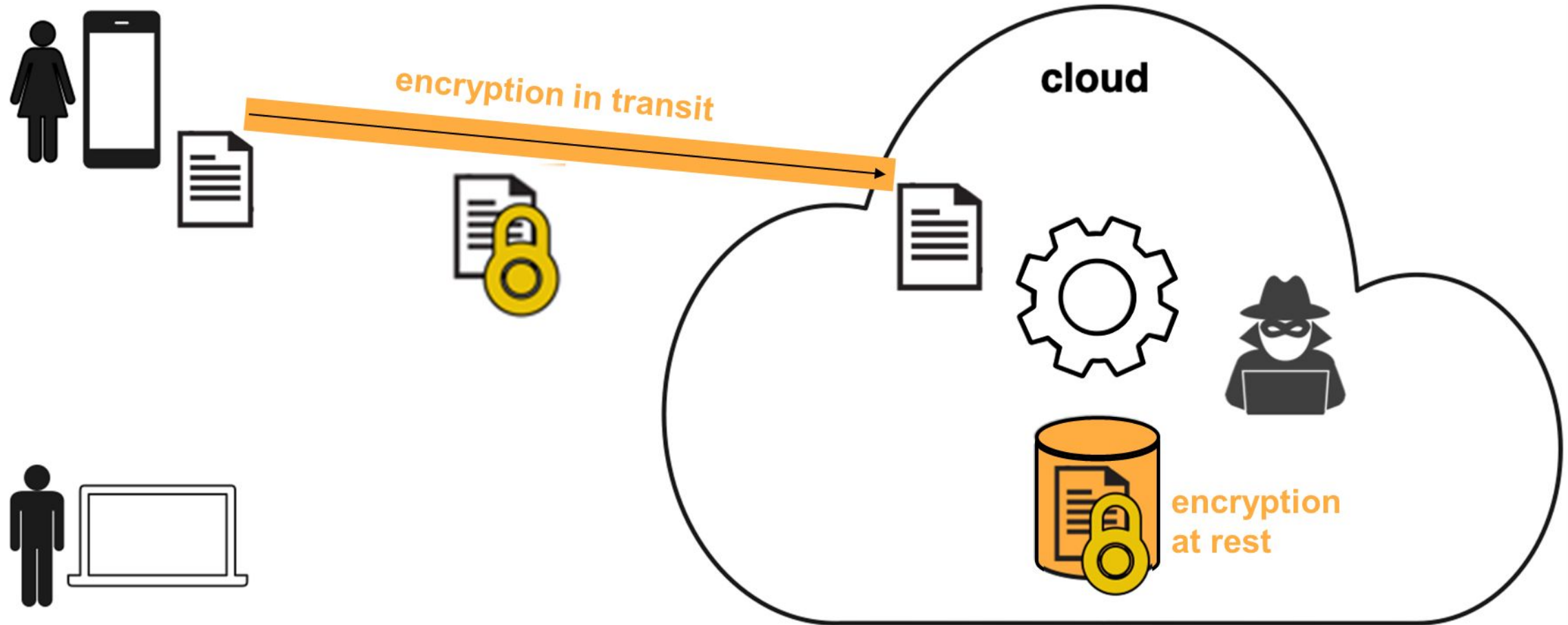
# Standard Use of Encryption



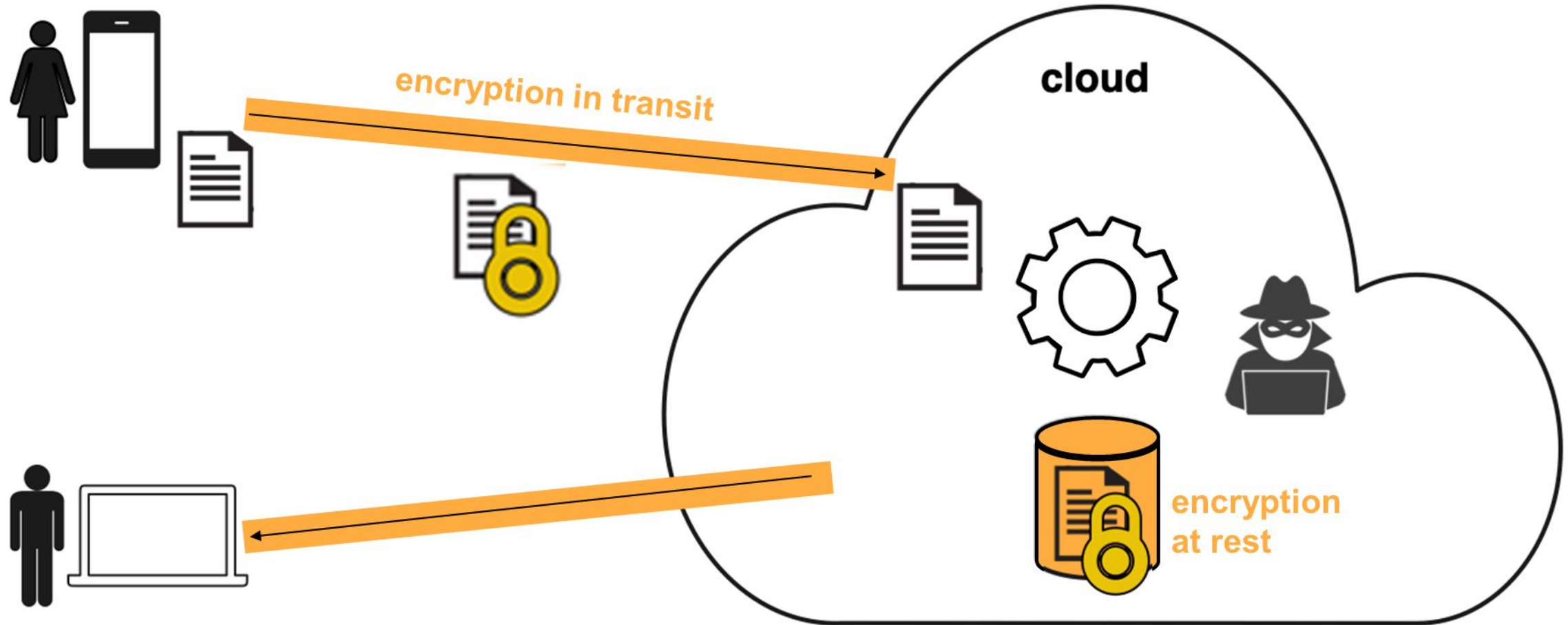
# Standard Use of Encryption



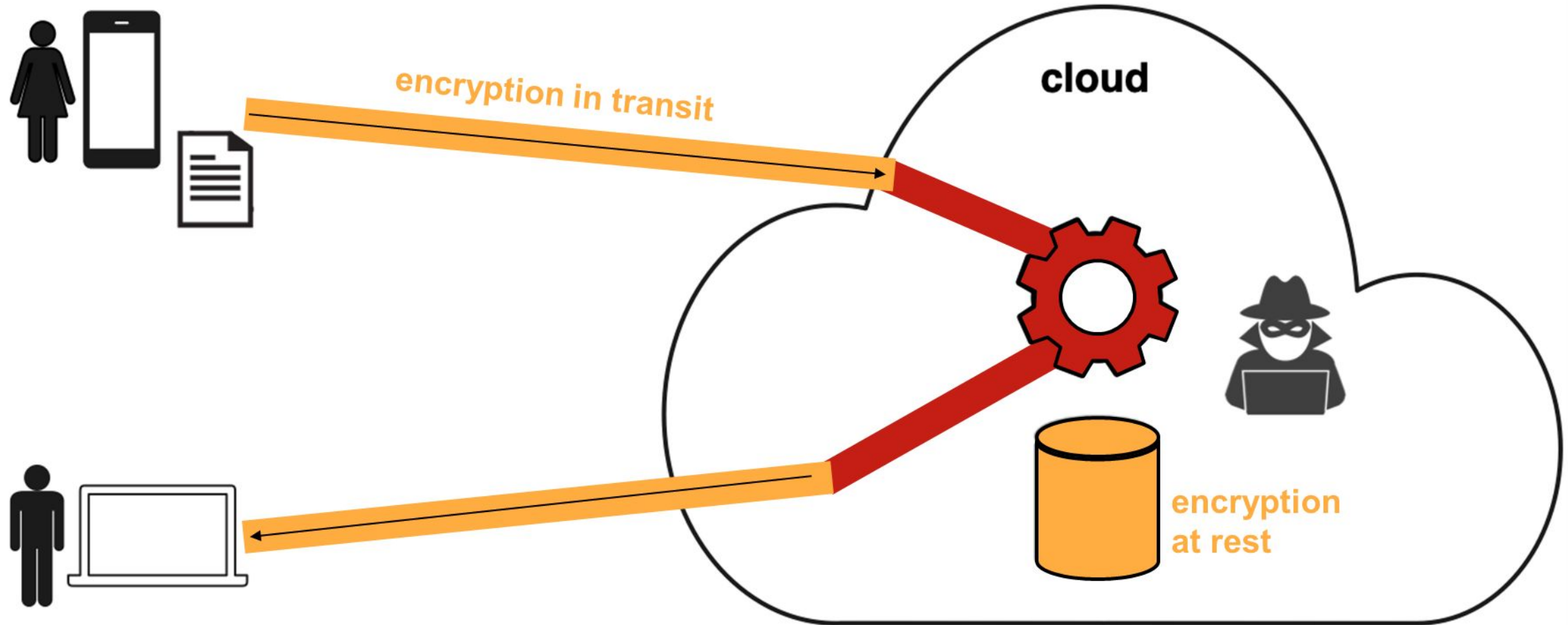
# Standard Use of Encryption



# Standard Use of Encryption

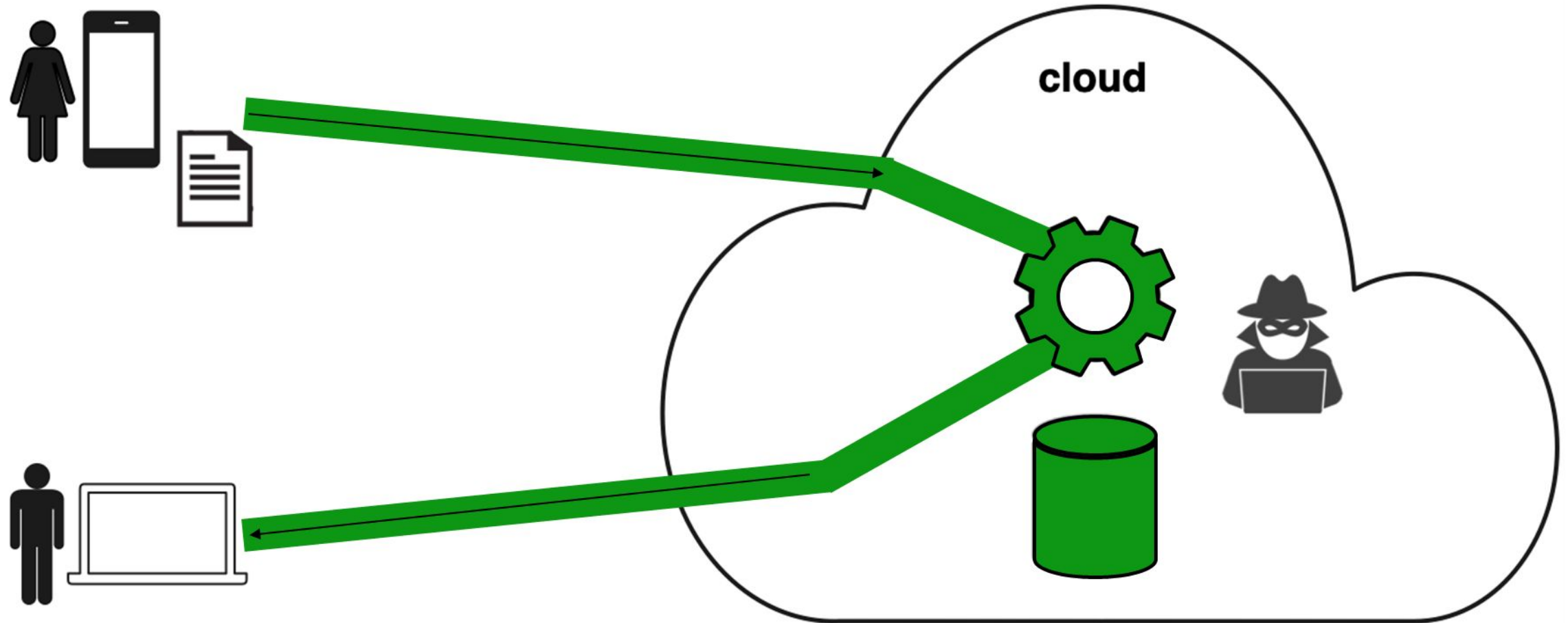


# Standard Use of Encryption

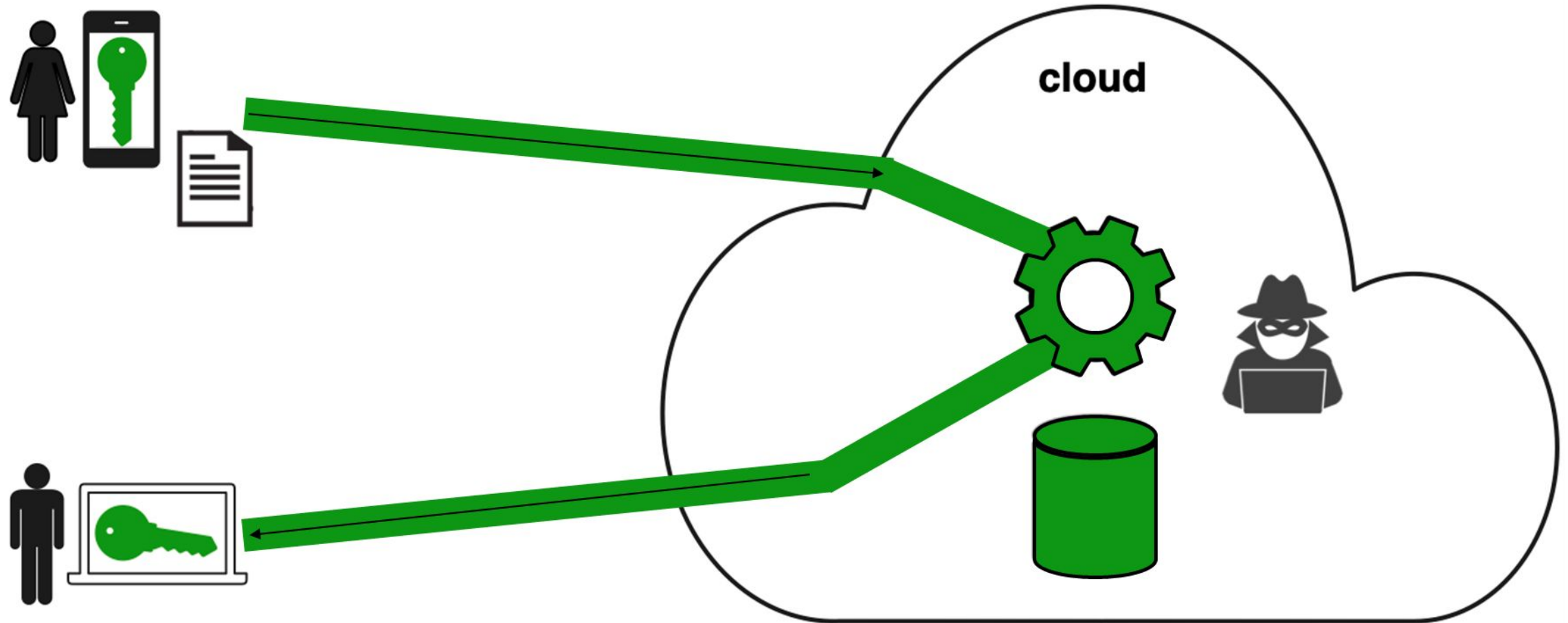




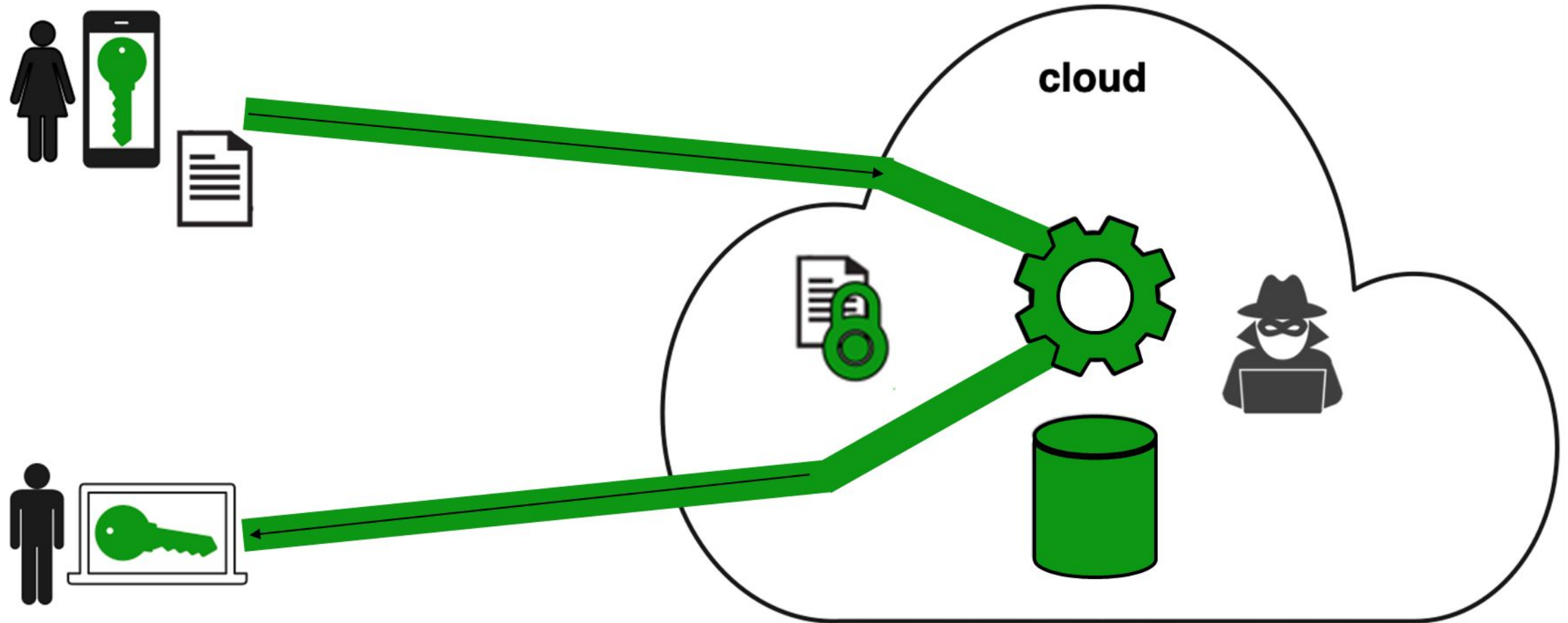
# Need: Encryption With Computation



# Need: Encryption With Computation



# Need: Encryption With Computation



# Advanced Cryptography

---

- Homomorphic encryption
- Secure enclaves
- Secure multiparty computation
  - Related: federated learning
  - Together, we discuss these as “private collaborative learning”
- Our goal: overview these so learners have a springboard for learning more

Limitations of Traditional Encryption for Data Exposure Risks in (ML) Clouds

---

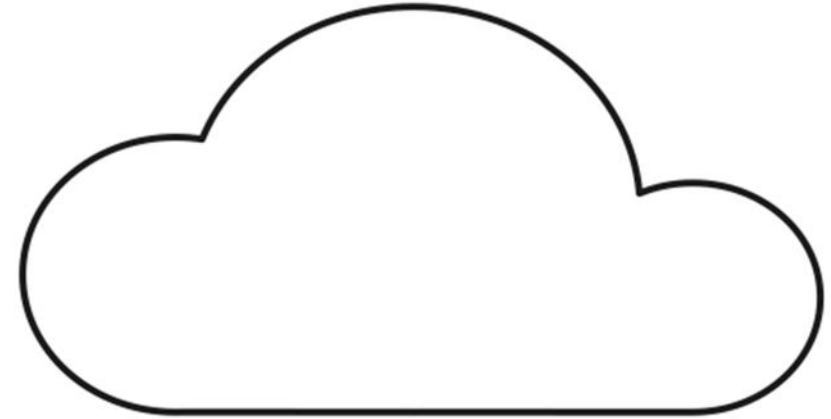
**The End**

# Homomorphic Encryption Overview

---

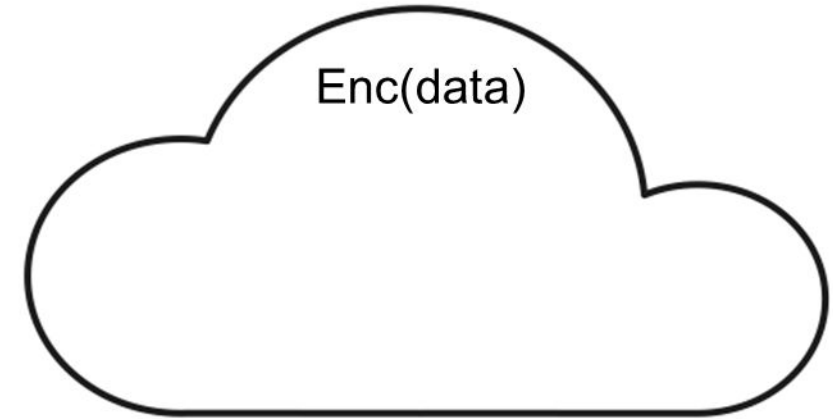
# Computation on Encrypted Data

---



# Computation on Encrypted Data

---





# Computation on Encrypted Data

---



# Computation on Encrypted Data

---



# Computation on Encrypted Data

---



# Computation on Encrypted Data

---



# Computation on Encrypted Data



Example: RSA public key encryption,  $F = *$

$$\text{Enc}(x) = x^e \bmod n$$

$$\text{Enc}(y) = y^e \bmod n$$

----- (multiply)

$$\text{Enc}(x) * \text{Enc}(y) = (xy)^e \bmod n = \text{Enc}(x*y)$$

i.e., RSA is multiplicatively homomorphic

# Computation on Encrypted Data



Example: Paillier cryptosystem,  $F = +$

$$\text{Enc}(x) = g^{xr^n} \bmod n^2$$

$$\text{Enc}(y) = g^{yr^n} \bmod n^2$$

(multiply)

$$\text{Enc}(x) * \text{Enc}(y) = g^{x+y}(rr')^n \bmod n^2 = \text{Enc}(x+y)$$

i.e., Paillier is additively homomorphic

# Fully Homomorphic Encryption

---

- Enables **general functions** on encrypted data
- Despite progress, remains orders of magnitude too slow.
- However, specialized homomorphic encryption schemes, developed for specific operations, are practical.
- Numerous useful systems have been developed, which are worth considering to deploy in one's most vulnerable/exposed components.

Homomorphic Encryption Overview

---

# The End



# Background/Math behind These Schemes

---

# Cryptography Basics

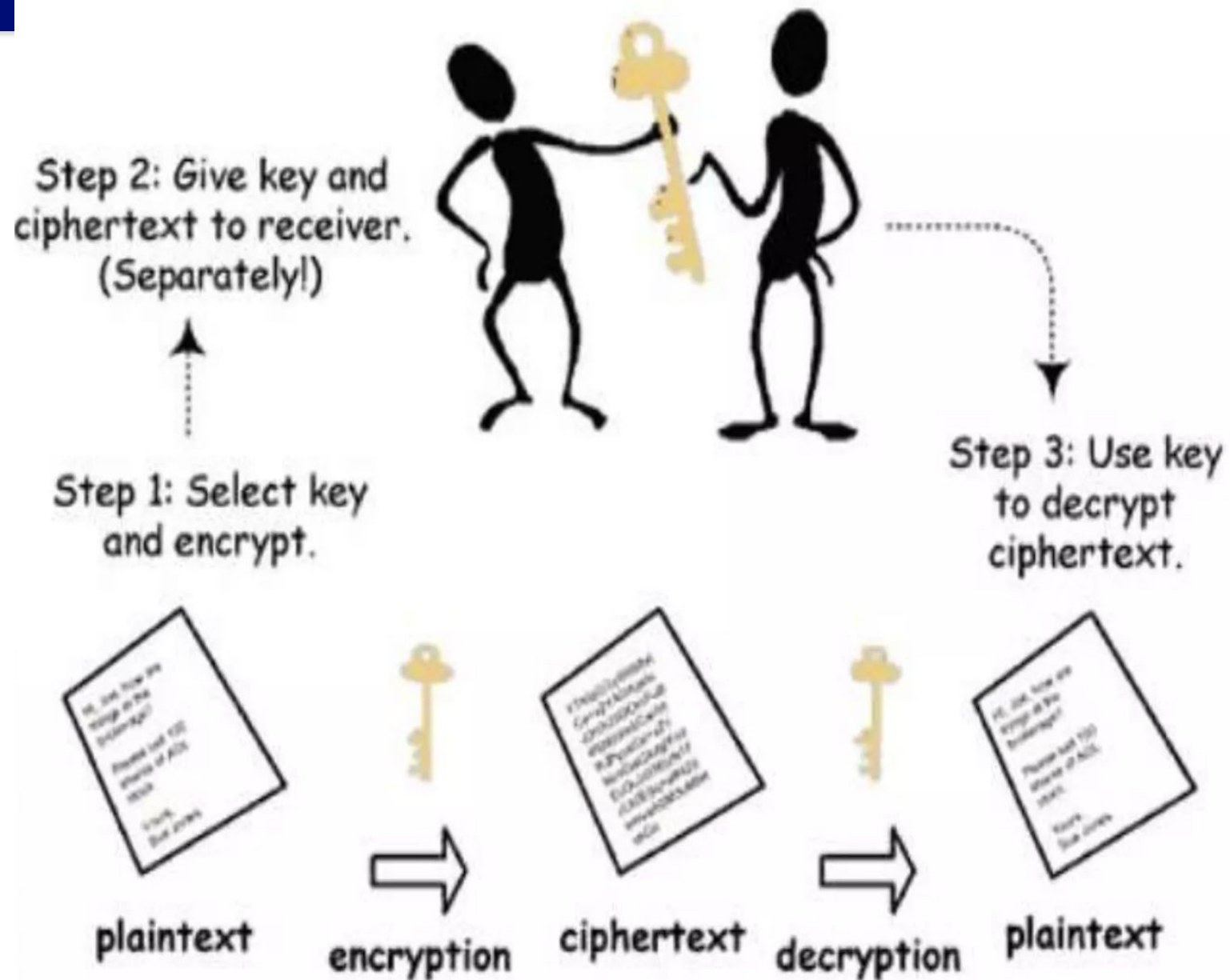
- Goal: allow intended recipients of a message to receive the message securely:
  - Confidentiality
  - Integrity
  - Non-repudiation
- Two types:
  - Public-key or Symmetric-key
  - Public-key or Asymmetric-key

# Important terms

- Plaintext -- the message in its original form.
- Ciphertext -- message altered to be unreadable by anyone except intended recipients.
- Cipher -- The algorithm used to encrypt the message.
- Cryptosystem -- The combination of algorithm, key, and key management functions used to perform cryptographic operations.

# Private Key Cryptography

- A single key is used for both encryption and decryption. That's why it's called "symmetric" key as well.
- The sender uses the key to encrypt the plaintext and the receiver applies the same key to decrypt the message.
- The biggest difficulty with this approach is thus the distribution of the key, which generally a trusted third-party does.

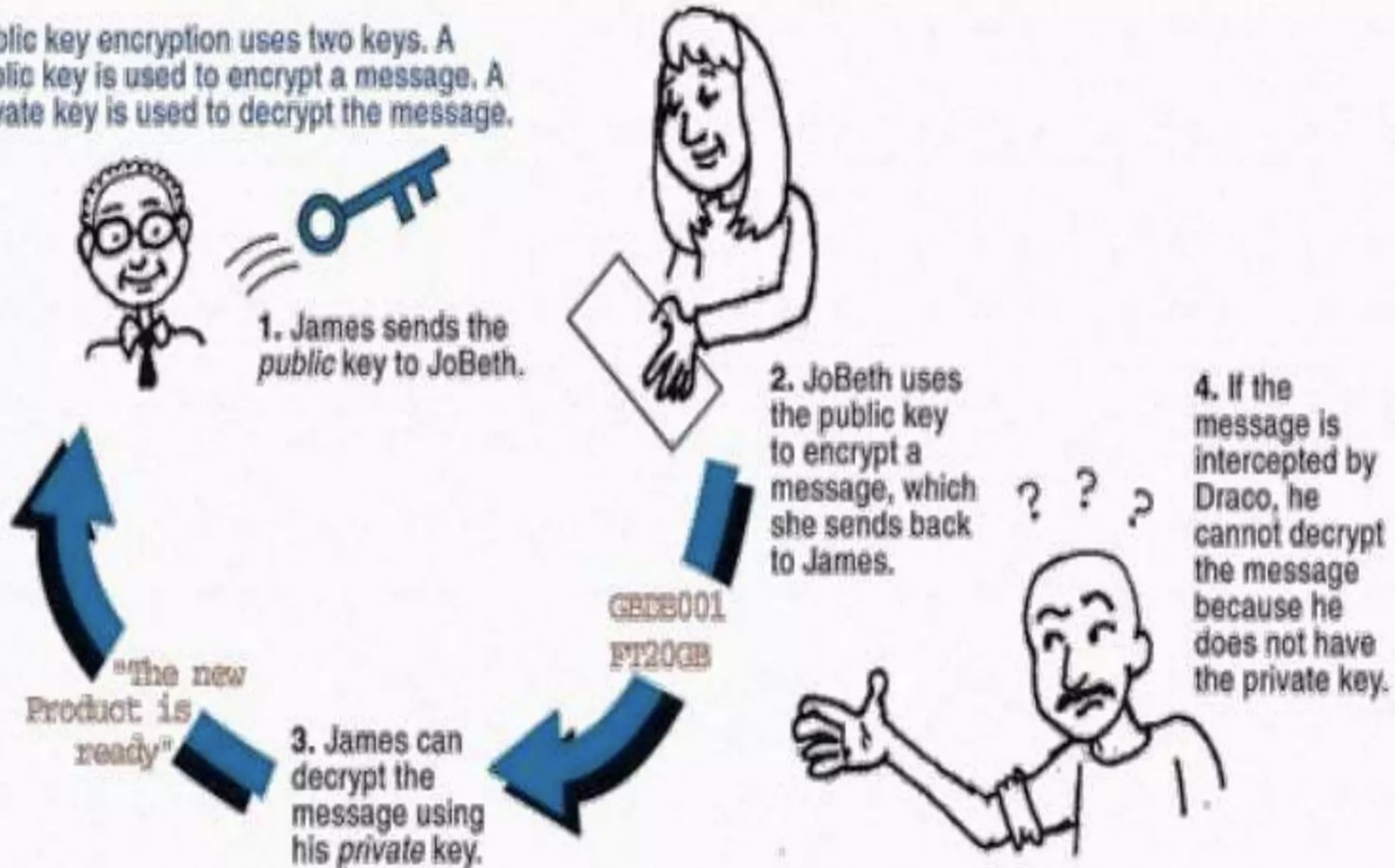


Schematic representation of Private-key cryptography

# Public-Key Cryptography

- Each user has a pair of keys: a public key and a private key.
- The public key is used for encryption. This is released in public (usually through PKI).
- The private key is used for decryption. This is known to the owner only.

Public key encryption uses two keys. A public key is used to encrypt a message. A private key is used to decrypt the message.



Schematic representation of Public-key cryptography

# RSA Cryptosystem

- Most famous public-key algorithm used today is RSA.
  - Developed in 1976 by MIT scientists, Ronald Rivest, Adi Shamir, Leonard Adleman.
- Used in hundreds of software products and can be used for digital signatures, or encryption of small blocks of data (such as to establish symmetric session keys).
- Relies on the relative ease of finding large primes and the comparative difficulty of factoring large integers for its security.



# Algorithms

Key generation

Encryption

Decryption

# RSA Key Generation

$\phi(n)$  = Euler's totient function (in this case, because  $n=pq$  and  $p, q$  primes,  $\phi(n) = (p-1)(q-1)$ )

- Select  $p, q$        $p$  and  $q$  both prime
- Calculate  $n$        $n = p \times q$
- Select integer  $d$        $\gcd(\phi(n), d) = 1; 1 < d < \phi(n)$
- Calculate  $e$        $e = d^{-1} \bmod \phi(n)$
- Public Key       $KU = \{e, n\}$
- Private Key       $KR = \{d, n\}$

# RSA Encryption, Decryption

## Encryption

- Plaintext:  $M < n$
- Ciphertext:  $C = M^e \pmod{n}$

## Decryption

- Ciphertext:  $C$
- Plaintext:  $M = C^d \pmod{n}$

# Key Generation

- Find two large primes,  $p$  and  $q$ .
- Form their product  $n = pq$ .
- Choose random integer  $e$ , which is relatively prime to  $(p-1)(q-1)$ .
- **The pair  $(n,e)$  is the public key.**
- Use Extended Euclid's Algorithm and Euler's Theorem to calculate  $d$ , which is  $e$ 's modular inverse.:

$$ed \equiv 1 \pmod{(p-1)(q-1)}$$

- **The pair  $(n,d)$  is the private key.**
  - Like  $d$ , factors  $p,q$  must be kept secret (they can be destroyed after  $d$  is generated).

# RSA is Multiplicatively Homomorphic

$$\text{Enc}(x) = x^e \bmod n$$

$$\text{Enc}(y) = y^e \bmod n$$

----- (multiply ciphertexts)

$$\text{Enc}(x) * \text{Enc}(y) = (xy)^e \bmod n = \text{Enc}(x*y) \quad \text{(to get the ciphertext of the multiplication of the cleartexts)}$$

RSA is not known to be additively homomorphic.

# Paillier Cryptosystem

- Similar assumptions as RSA, but it is additively homomorphic.
  - And not known to be multiplicatively homomorphic...
- (Paillier is also secure against chosen-plaintext attack, which RSA on its own is not.)

# Paillier Key Generation

1. Pick two large prime numbers  $p$  and  $q$ , randomly and independently. Confirm that  $\gcd(pq, (p-1)(q-1))$  is 1. If not, start again. [Loop]
2. Compute  $n = pq$ .
3. Define function  $L(x) = \frac{x-1}{n}$ .
4. Compute  $\lambda$  as  $\text{lcm}(p-1, q-1)$ .
5. Pick a random integer  $g$  in the set  $\mathbb{Z}_{n^2}^*$  (integers between 1 and  $n^2$ ).
6. Calculate the modular multiplicative inverse  $\mu = (L(g^\lambda \bmod n^2))^{-1} \bmod n$ . If  $\mu$  does not exist, start again from step 1. [Loop]
7. The public key is  $(n, g)$ . Use this for encryption.
8. The private key is  $\lambda$ . Use this for decryption.

# Paillier Encryption, Decryption

Encryption can work for any  $m$  in the range  $0 \leq m < n$ :

1. Pick a random number  $r$  in the range  $0 < r < n$ .
2. Compute ciphertext  $c = g^m \cdot r^n \pmod{n^2}$ .

Decryption presupposes a ciphertext created by the above encryption process, so that  $c$  is in the range  $0 < c < n^2$ :

1. Compute the plaintext  $m = L(c^\lambda \pmod{n^2}) \cdot \mu \pmod{n}$ .

(Reminder: we can always recalculate  $\mu$  from  $\lambda$  and the public key).



# Paillier is Additively Homomorphic

$$\text{Enc}(x) = g^{xr^n} \bmod n^2$$

$$\text{Enc}(y) = g^{yr^n} \bmod n^2$$

----- (multiply the ciphertexts)

$$\text{Enc}(x) * \text{Enc}(y) = g^{x+y}(rr')^n \bmod n^2 = \text{Enc}(x+y) \quad (\text{to get the ciphertext of the addition of the cleartexts})$$

Paillier is not known to be multiplicatively homomorphic.

# AES Cryptosystem

- Symmetric-key system
- Used to encrypt messages once a session has been established.
- Much faster than public-key encryption!
- Doesn't rely on difficult number-theory problem, but rather on passing the cleartext through many transformation blocks that no one knows how to break (yet?).
- Is not homomorphic, but its “deterministic” mode, which is vulnerable to chosen-plaintext attacks, can support equality comparisons, hence it is sometimes used in encrypted computation systems (b/c it's a cheap alternative to other deterministic encryption schemes).
  - (and you will use it in HW3)

# How AES Works

- Repeats 4 main functions to encrypt data.
- Takes 128-bit block of data and a key and gives ciphertext as output.
- Functions are:
  - I. Sub Bytes
  - II. Shift Rows
  - III. Mix Columns
  - IV. Add Key

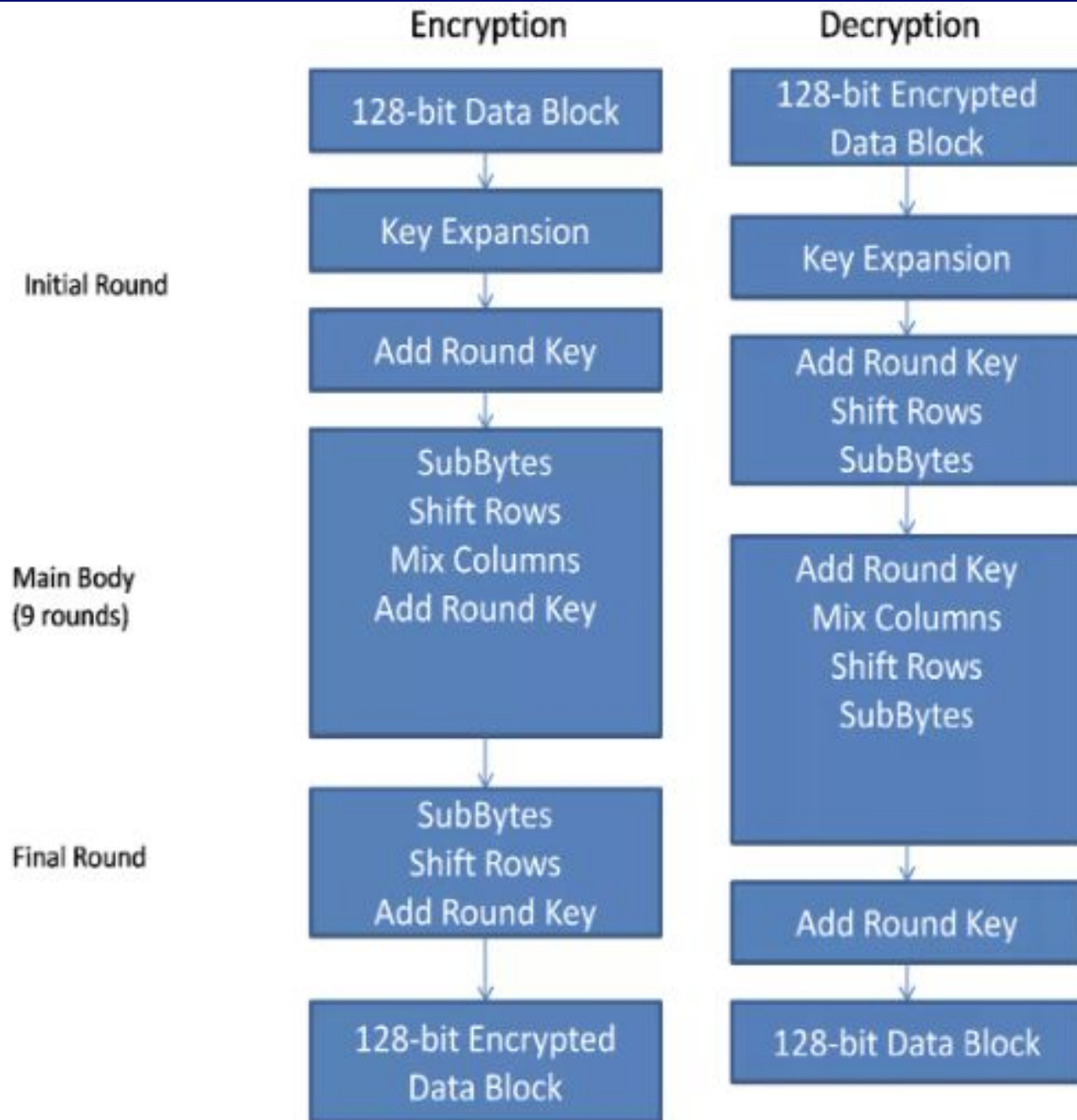
# How AES Works (cont.)

- The number of rounds performed by the algo depends on the key size.

Key size (bits)	Rounds
128	10
192	12
256	14

- Tradeoff between security and runtime (but in any case, much faster and memory efficient than RSA for example).

# Schematic of AES block cipher



Background/Math behind These Schemes

---

# The End

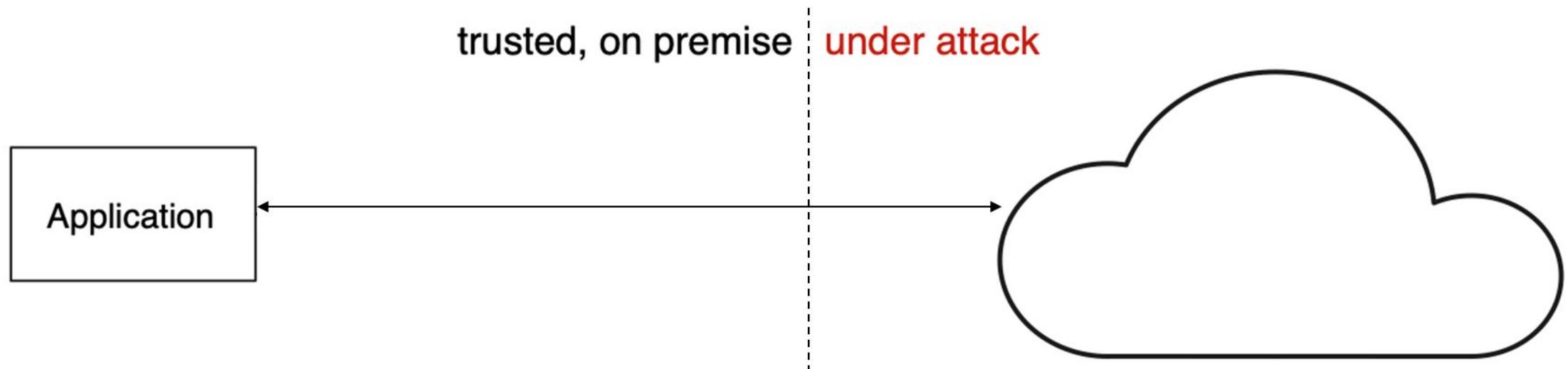
# Example System: Encrypted Database

---

# Encrypted Databases

---

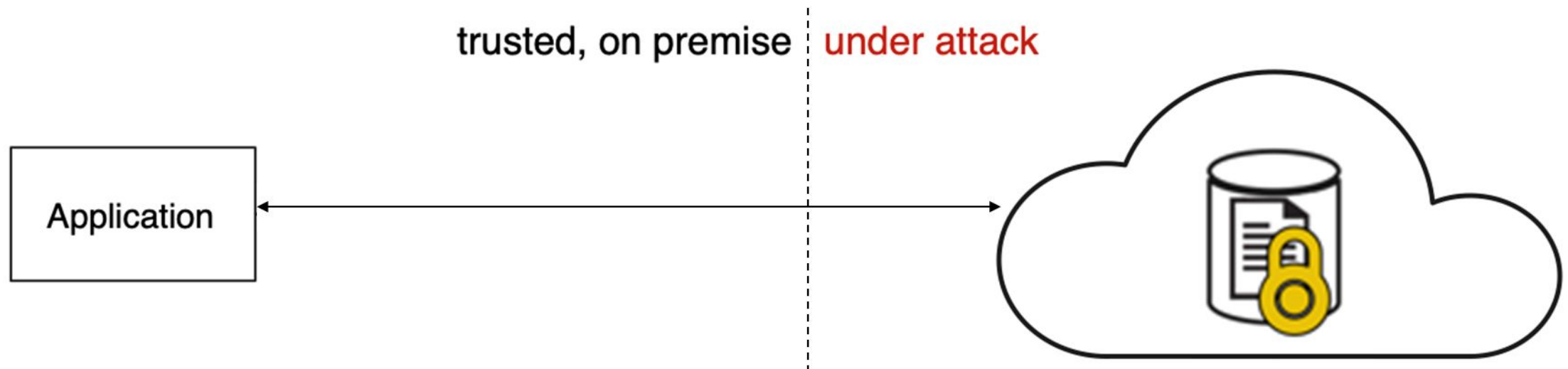
CryptDB (Popa11) was a first DBMS to process SQL queries on encrypted data.





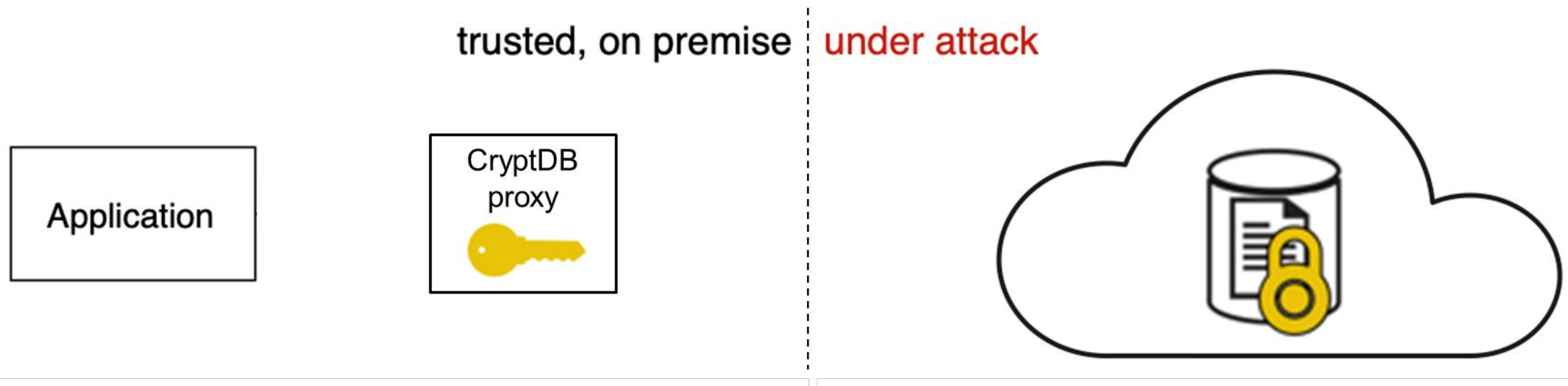
# Encrypted Databases

CryptDB (Popa11) was a first DBMS to process SQL queries on encrypted data.



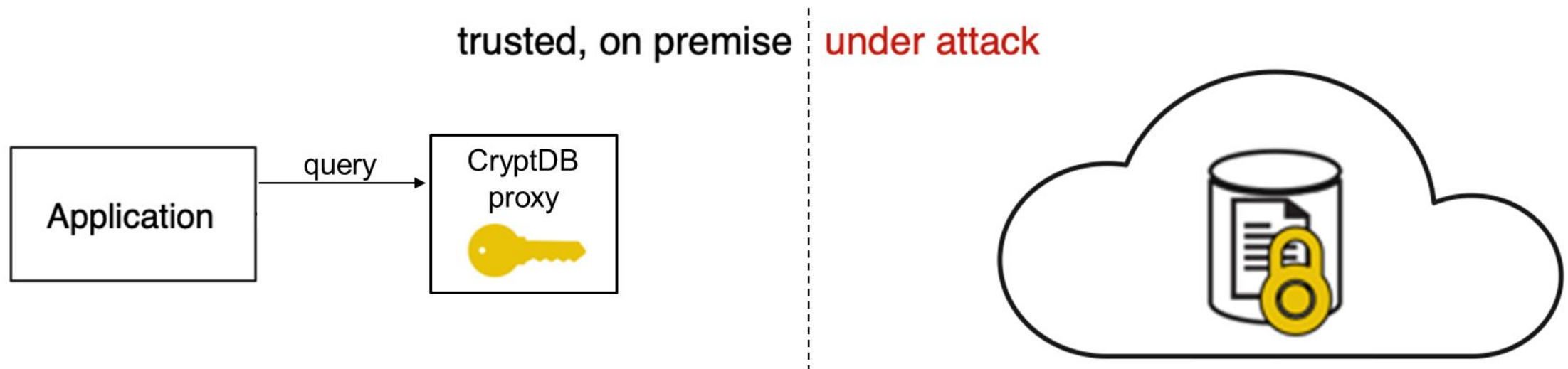
# Encrypted Databases

CryptDB (Popa11) was a first DBMS to process SQL queries on encrypted data.



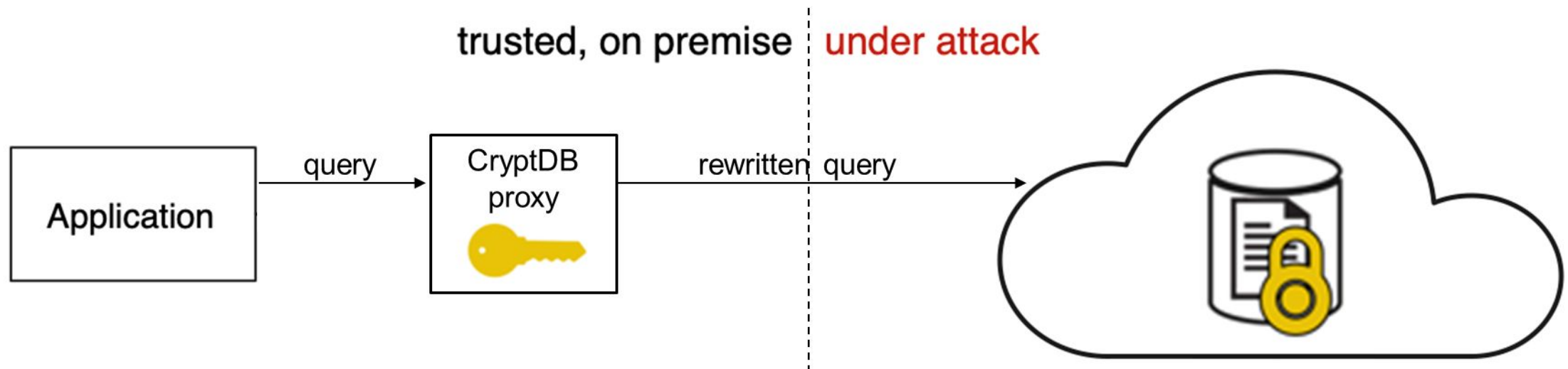
# Encrypted Databases

CryptDB (Popa11) was a first DBMS to process SQL queries on encrypted data.



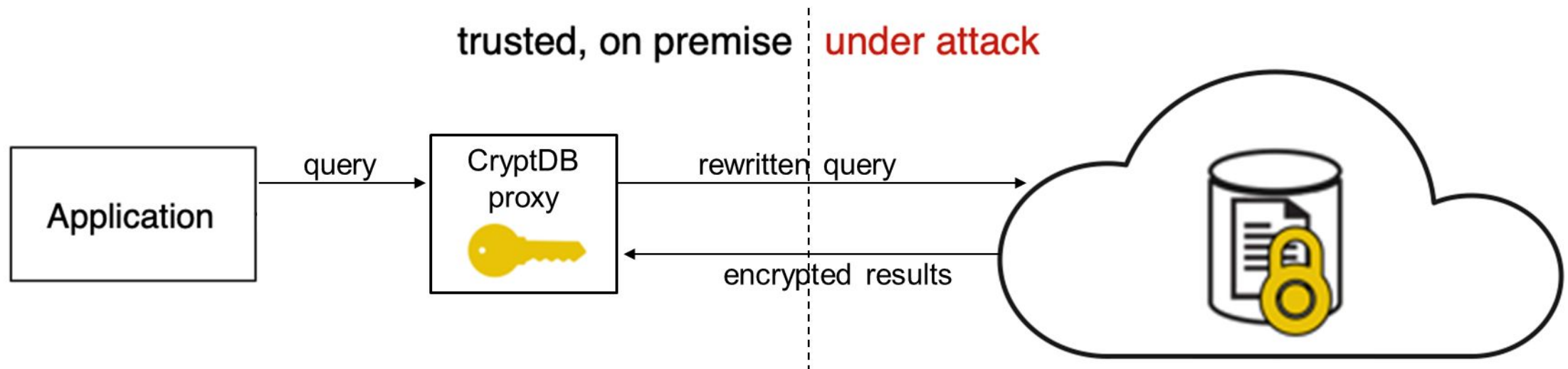
# Encrypted Databases

CryptDB (Popa11) was a first DBMS to process SQL queries on encrypted data.



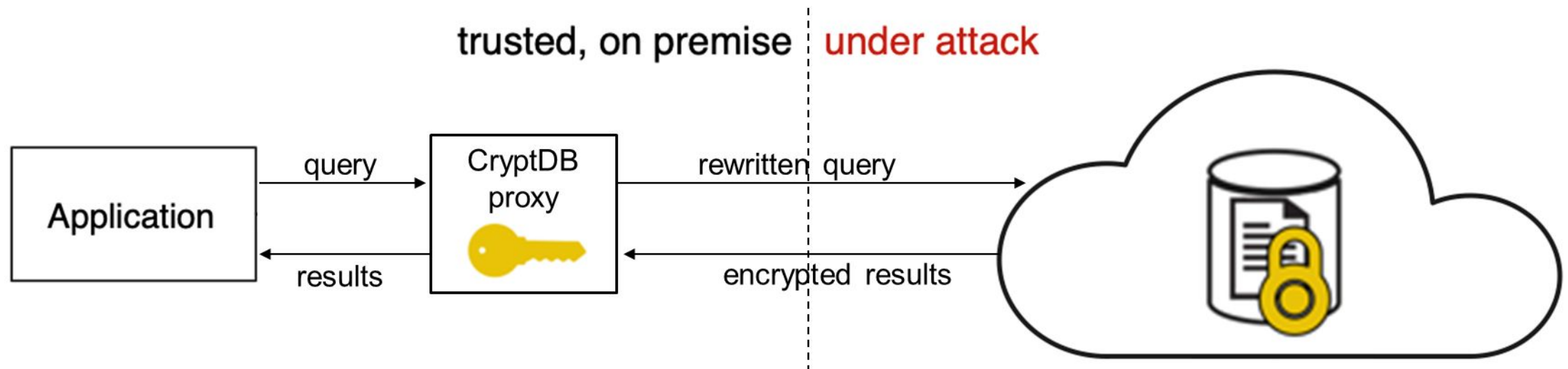
# Encrypted Databases

CryptDB (Popa11) was a first DBMS to process SQL queries on encrypted data.



# Encrypted Databases

CryptDB (Popa11) was a first DBMS to process SQL queries on encrypted data.



# CryptDB in a Nutshell

---

- Observation: most SQL can be implemented with a few operations (e.g., +, =, >)
- Methods:
  - Employs an efficient encryption scheme for each operation: Paillier for +; DET for =, order-preserving encryption for >, ...
  - Maintains multiple ciphertexts of the data, one for each encryption
  - Redesigns the query planner to produce encrypted and transformed query plans, transparently for DBMS and applications
- Evaluation on TPC-C benchmarks shows 27% performance overhead

# Existing Systems

---

- Academic
  - [CryptDB](#)
  - [Cipherbase](#)
  - [Autocrypt](#)
- Industry
  - Microsoft: [AlwaysEncrypted](#)
  - Google: [EncryptedBigQuery](#)
  - [Skyhigh Security](#)



# Cited References

---

(Gentry09) Craig Gentry. Fully homomorphic encryption using ideal lattices. In *Proceedings of the 41st Annual ACM Symposium on Theory of Computing*, 2009.

(Popa11) Raluca Ada Popa, Catherine M. S. Redfield, Nickolai Zeldovich, and Hari Balakrishnan. CryptDB: Protecting Confidentiality with Encrypted Query Processing. In *Proceedings of ACM Symposium on Operating Systems*, 2011.

Example System: Homomorphic Databases

---

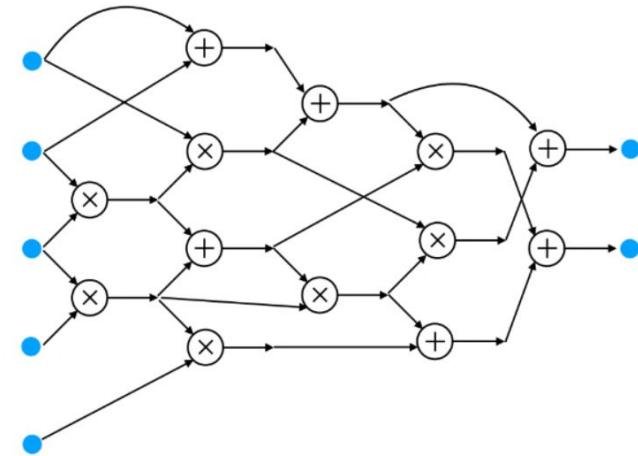
# The End

# Demo: HE/FHE in Practice

---

# Concrete

- Rust implementation of TFHE [1]
- FHE based on Learning With Errors (LWE) hardness
- Boolean and arithmetic operations
  - Functions that can be compiled to circuits.
  - No arbitrary if/else statements or loops (why?)
- See notebook on Courseworks
  - Simple FHE circuits
  - Evaluating HE vs FHE runtime
  - Lightweight ML model inference on encrypted data



Source: Zama.ai

# Cited References

---

- [1] I. Chillotti, N. Gama, M. Georgieva, and M. Izabachène, “TFHE: Fast Fully Homomorphic Encryption Over the Torus,” *J Cryptol*, vol. 33, no. 1, pp. 34–91, Jan. 2020, doi: 10.1007/s00145-019-09319-x.

Demo: HE Libraries

---

# The End

# Homework 3 Overview

---

(CA walks through HW3 notebook, posted on Courseworks)